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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas, Lawrence J.

Serial No.: 09/845,511

Filed: April 30, 2001

Entitled: PLASMID-BASED VACCINE FOR
TREATING ATHEROSCLEROSIS

Examiner: not yet assigned

Art Unit: 1614

Attorney Docket No.: TCS-414.2P US-1

Assistant Commissioner for Patents
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56, 1.97 AND 1.98**

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants submit this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office. A listing of the cited documents is also enclosed, as well as, for the Examiner's convenience, copies of the documents in the list. Pursuant to 37 C.F.R. § 1.97(b)(3), because this Statement is being submitted before the first Office Action on the merits, no fee is required.

US Patents

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Foreign Patent Applications

EPO, Publication No. EP 0343460 A2. Publication Date 29 November 1989.
PCT, Publication No. WO 90/15627. Publication Date 27 December 1990.
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Applicants do not intend to represent that any of the documents submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicants respectfully request that the documents submitted herein be considered and made of record in this application.

Respectfully submitted,

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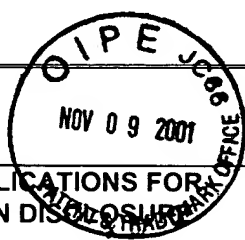
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLICANT Thomas, Lawrence J.	
(Use several sheets if necessary)		FILING DATE April 30, 2001	GROUP (not yet assigned)



REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	US 5,242,687	09/07/93	Tykocinski et al.			
	AB	US 5,338,829	08/16/94	Weiner et al.			
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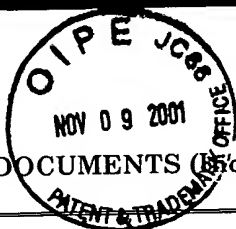
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	AD	EP 0 343 460 A2	11/29/89		C07K	7/08	
	AE	WO 90/15627	12/27/90		A61K	47/48	
	AF	WO 92/10203	06/25/92		A61K	37/22	
	AG	WO 93/11782	06/24/93		A61K	37/00	
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	AM	Agellon et al., <i>J. Biol. Chem.</i> , 266: 10796 - 10801 (1991)
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	AO	Albers et al., <i>Arteriosclerosis</i> , 4: 49-58 (1984)
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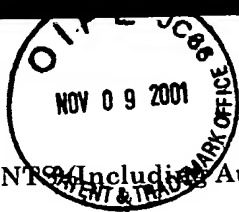
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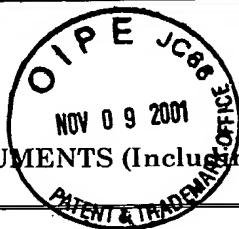
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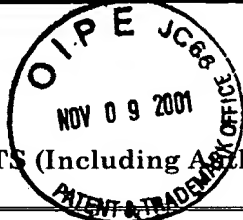
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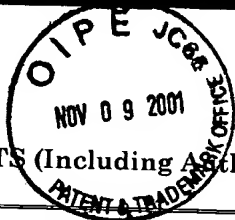
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	DO	<u>The Merck Manual of Diagnosis and Therapy</u> , 16 th ed., (Merck & Company Inc., Rahway, New Jersey, 1992), pp. 22-23 (referring to infection prevention), pp. 114-115 (referring to bacterial diseases), pp. 1944-1947 (referring to health management of neonates, infants, and children).
	DP	Travis, <i>Science</i> , 262: 1974-1975 (1993) ✓
	DQ	Valmori et al., <i>J. Immunol.</i> , 149: 717-721 (1992) ✓
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

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DR	Wang et al., <i>J. Biol. Chem.</i> , 270: 612-618 (1995) ✓
DS	Wang et al., <i>Science</i> , 254: 285-288 (1991) ✓
DT	Wang et al., <i>J. Biol. Chem.</i> , 268: 1955-1959 (1993) ✓
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DX	Wedrychowski et al., <i>Biotechnology</i> , 11(4): 486-489 (1993) ✓
DY	Weisman et al., <i>Science</i> , 249: 146-151 (1990) ✓
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EA	Yeh et al., <i>J. Immunol.</i> , 146: 250-256 (1991) ✓
EB	Yen et al., <i>J. Clin. Invest.</i> , 83: 2018-2024 (1989) ✓
EC	Zannis et al., "Genetic mutations affecting human lipoproteins, their receptors, and their enzymes", <i>Advances in Human Genetics</i> , Vol. 21, pp. 145-319 (Plenum Press, New York, NY., 1993) ✓
ED	Zegers et al., <i>Eur. J. Immunol.</i> , 23: 630-634 (1993) ✓
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